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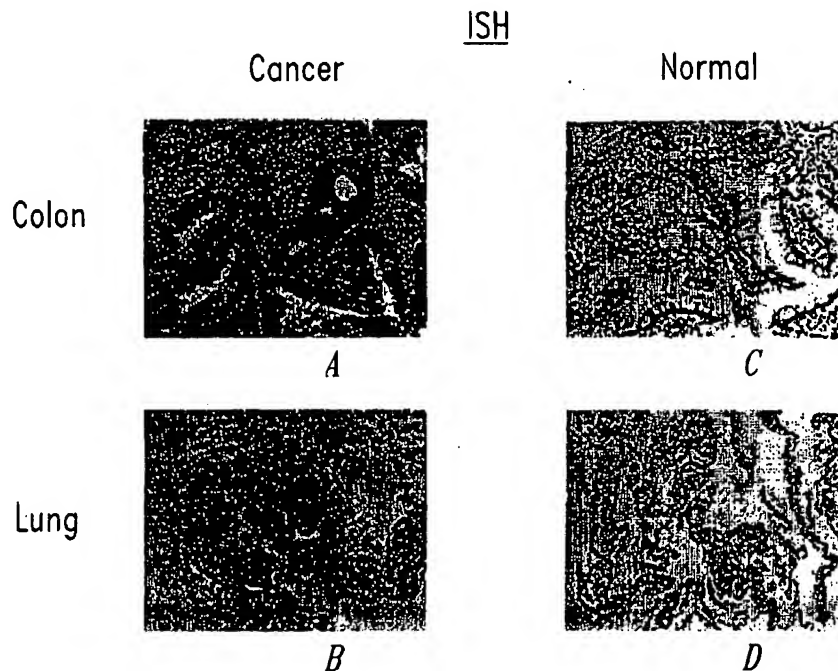
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(54) Title: COMPOSITIONS AND METHODS FOR TREATING NEOPLASTIC DISEASE USING NET-4 MODULATORS



(57) Abstract: Modulators of NET-4 are provided that reduce the expression or biological activities of NET-4 or the expression of NET-4 mRNA in a mammalian cell. NET-4 modulators include antisense molecules, ribozymes, antibodies and antibody fragments, proteins and polypeptides as well as small molecules. NET-4 modulators find use in compositions and methods for decreasing NET-4 gene expression as well as methods for inhibiting the proliferation of mammalian cells, including tumor cells, and methods for treating neoplastic diseases.

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## COMPOSITIONS AND METHODS FOR TREATING NEOPLASTIC DISEASE USING NET-4 MODULATORS

### TECHNICAL FIELD

5           The invention provides compositions and methods for inhibiting NET-4 gene expression and/or biological activity. Such compositions and methods find utility in the treatment of neoplastic disease.

### BACKGROUND OF THE INVENTION

NET-4 is a member of the tetraspan transmembrane receptor family.  
10   There have been no published reports disclosing the function of the molecule. The tetraspan family is discussed in Maecker, H.T. et al., *FASEB J.* 11:428-442, 1997. Expression of tetraspan genes in lymphoma cell lines is discussed in Ferrer, M. et al., *Clin. Exp. Immunol.* 113:346-352, 1998.

Thus, there is a need in the art for correlation between this protein family  
15   and essential or pathological processes. The present invention helps to fulfill this need by disclosing the use of NET-4 antisense oligonucleotides to inhibit proliferation of tumor cells.

### SUMMARY OF THE INVENTION

The present invention provides, in one embodiment, inhibitors of NET-4.  
20   Inventive inhibitors include, but are not limited to, antisense molecules, ribozymes, antibodies or antibody fragments, proteins or polypeptides as well as small molecules. Exemplary antisense molecules comprise at least 10, 15 or 20 consecutive nucleotides of or hybridize under stringent conditions to the nucleic acid of SEQ ID NO:1. More preferred are antisense molecules that comprise at least 15, 20 or 25 consecutive  
25   nucleotides of or hybridize under stringent conditions to the sequence of SEQ ID NO:1. Representative antisense molecules are provided herein as SEQ ID NO:2-6.

In further embodiments, compositions are provided that comprise one or more NET-4 inhibitor in a pharmaceutically acceptable carrier.

Additional embodiments provide methods of decreasing NET-4 gene expression or biological activity.

5 Each of the methods of the present invention has in common the administration of one or more inventive NET-4 inhibitor to a mammalian cell.

The invention further provides a kit for detecting a colon tumor cell, comprising at least one oligonucleotide selected from the group consisting of SEQ ID NO:18, 19, 20, 21 and 22.

## 10 BRIEF DESCRIPTION OF THE FIGURES

Figure 1 illustrates the schematic structure of tetraspan proteins. Amino (N) and carboxyl (C) termini and extracellular and transmembrane domains are indicated in boldface. Highly conserved amino acids found in 12 of 18 tetraspan genes, are shown in circles. Highly conserved amino acids found in 14 or more tetraspans are shown in boldface circles. The conserved PXSC motif is located at different positions within EC2 in the various tetraspans, and is therefore indicated with floating arrows. Asterisks indicate conserved charged amino acids within the transmembrane domains.

Figure 2 shows *in situ* hybridization analyses of tumor colon tissue (Fig. 2A), tumor lung tissue (Fig. 2B), normal colon tissue (Fig. 2C), and normal lung tissues (Fig. 2D) using a mixture of oligonucleotides consisting of Net-4 864: CCCTTGTAGTGC (SEQ ID NO:18); Net-4 865: TGAAGTATTTGATGC (SEQ ID NO:19); Net-4 866: CCGATATGCTCTG (SEQ ID NO:20); Net-4 867: TTAGGTTCCAATCA (SEQ ID NO:21); and Net-4 868: CGACTTTCATACTG (SEQ ID NO:22).

## 25 DETAILED DESCRIPTION OF THE INVENTION

NET-4 is a member of the tetraspan superfamily of proteins. The proteins are characterized by four transmembrane domains and two extracellular regions. Within the superfamily is a specific family referred to as NET proteins, for

“new EST tetraspan” (Serru, V. et al., *Biochem. Biophys. Acta* 1478:159-163, 2000). Serru et al. reported the existence of seven NET proteins (designated NET-1 through NET-7), and studied expression in a panel of cell lines. NET-4 was expressed in T lymphoid cell lines, but not by most B lymphoid cell lines studied.

5 To date there is no published information on a putative function for any of the NET proteins. The superfamily itself was discovered in 1990, and as of 1997, twenty members had been identified. It has been suggested that one of the molecule's functions is to group specific cell-surface proteins, thereby increasing the formation and stability of functional signaling complexes. Maecker, H.T. et al., *FASEB J.* 11:428-442, 10 1997. Figure 1 illustrates the schematic structure of tetraspan proteins. The information available to date indicates that some tetraspan proteins may play an inhibitory role in cancer development or growth, while other proteins are expressed at a higher level in cancer cells. For example, expression of CD9 suppresses motility and metastasis in carcinoma cells (Ikeyama, S. et al., *J. Exp. Med.* 177:1231-1237, 1993), 15 and CD9 expression is inversely correlated with metastasis in melanoma cells (Si, Z. et al., *Int. J. Cancer* 54:37-43, 1993). Reduction of CD9 expression correlates with poor prognosis in breast carcinoma (Miyake, M. et al., *Cancer Res.* 56:1244-1249, 1996). Expression of CD82 may suppress metastasis in prostate cancer cell lines (Dong, J. et al., *Science* 268:884-886, 1995).

20 The present invention substantially adds to the information about the role of tetraspan proteins in cancer and also provides methods and compositions for modulating cancer cell growth by inhibiting NET-4 expression. According to the invention, NET-4 is overexpressed in 83% of colon cancer patient samples tested using DNA microarray screening. To further investigate the role of NET-4 expression in 25 tumor cell growth, cells of colon cancer cell line SW620 were exposed to a variety of antisense molecules based on the sequence of NET-4. As shown in Tables 2-5 and 7, transfection of SW620 cells with antisense molecules of SEQ ID NO:2-6, respectively, significantly decreased the proliferation of SW620 cells beginning at day 1 and continuing through day 4. Likewise, transfection of MRC9 cells with antisense

molecules of SEQ ID NO:5 also significantly decreased the proliferation of MRC9 cells (Table 6).

To determine whether the effect on proliferation was due to a specific effect on NET-4 expression, NET-4 message levels were measured in SW620 cells lines exposed to five different antisense molecules. As shown in Table 1, cells exposed to the antisense oligonucleotides had significantly lower levels of NET-4 mRNA when compared to cells treated with the corresponding reverse control oligonucleotides.

Antisense oligonucleotides based on the polynucleotide sequence of NET-4 therefore are specific inhibitors of NET-4 expression, and this correlates with decreased proliferation of colon cancer cells. Antisense oligonucleotides are suitable for *in vivo* treatment of colon cancer and other cancers in which increased NET-4 expression plays a role in cancer cell growth, migration, metastasis, and survival. However, the invention is not limited to use of antisense inhibitors. Based on the results herein, other compositions and methods for inhibiting NET-4 expression or for modulating or inhibiting NET-4 function are also suitable for regulating cell proliferation. Because NET-4 is a transmembrane protein, antibodies are particularly suitable for inhibiting its effect.

The present invention is directed generally to modulating NET-4 expression and function, particularly in cancer cells, more particularly in colon cancer cells. More specifically, the invention provides agonists and antagonists of NET-4, including antisense polynucleotides and ribozymes, proteins or polypeptides, antibodies or fragments thereof and small molecules; compositions comprising NET-4 modulators; methods of supplementing chemotherapeutic and/or radiation treatment of a mammalian cell, as well as methods of treating neoplastic disease. Each of these methods has in common the administration to a mammalian cell of one or more NET-4 modulator.

#### Inhibitors of NET-4 are Effective in Reducing NET-4 Gene Expression

Inventive NET-4 inhibitors include antisense molecules and ribozymes, proteins or polypeptides, antibodies or fragments thereof as well as small molecules.

These NET-4 inhibitors share the common feature that they reduce the expression and/or biological activity of NET-4 and, as a consequence, modulate, inhibit, or prevent the growth of cancer cells. In addition to the exemplary NET-4 inhibitors disclosed herein, alternative inhibitors may be obtained through routine experimentation utilizing methodology either specifically disclosed herein or as otherwise readily available to and within the expertise of the skilled artisan.

#### Antisense Molecules and Ribozymes

NET-4 inhibitors of the present invention include antisense molecules that, when administered to mammalian cells, are effective in reducing, for example, intracellular levels of NET-4 mRNA. Antisense molecules bind in a sequence-specific manner to nucleic acids, such as mRNA or DNA. When bound to mRNA that has complementary sequences, antisense molecules prevent translation of the mRNA (U.S. Patent No. 5,168,053 to Altman et al.; U.S. Patent No. 5,190,931 to Inouye, U.S. Patent No. 5,135,917 to Burch; U.S. Patent No. 5,087,617 to Smith and Clusel et al. *Nucl. Acids Res.* 21:3405-3411 (1993), which describes dumbbell antisense oligonucleotides).

Antisense technology can be used to control gene expression through triple-helix formation, which promotes the ability of the double helix to open sufficiently for the binding of polymerases, transcription factors or regulatory molecules. Gee et al., In Huber and Carr, "Molecular and Immunologic Approaches," Futura Publishing Co. (Mt. Kisco, NY; 1994). Alternatively, an antisense molecule may be designed to hybridize with a control region of the NET-4 gene, e.g., promoter, enhancer or transcription initiation site, and block transcription of the gene; or block translation by inhibiting binding of a transcript to ribosomes. Hirashima et al. in *Molecular Biology of RNA: New Perspectives* (M. Inouye and B. S. Dudock, eds., 1987 Academic Press, San Diego, p. 401); *Oligonucleotides: Antisense Inhibitors of Gene Expression* (J.S. Cohen, ed., 1989 MacMillan Press, London); Stein and Cheng, *Science* 261:1004-1012 (1993); WO 95/10607; U.S. Patent No. 5,359,051; WO 92/06693; and EP-A2-612844, each of which is incorporated herein by reference.

Briefly, such molecules are constructed such that they are complementary to, and able to form Watson-Crick base pairs with, a region of transcribed NET-4 mRNA sequence. The resultant double-stranded nucleic acid interferes with subsequent processing of the mRNA, thereby preventing protein  
5 synthesis.

In general, a portion of a sequence complementary to the NET-4 coding region may be used to modulate gene expression. The sequence of NET-4 cDNA is presented herein as SEQ ID NO:1. Alternatively, cDNA constructs that can be transcribed into antisense RNA may be introduced into cells or tissues to facilitate the  
10 production of antisense RNA. Thus, as used herein, the phrase "antisense molecules" broadly encompasses antisense oligonucleotides whether synthesized as DNA or RNA molecules as well as all plasmid constructs that, when introduced into a mammalian cell, promote the production of antisense RNA molecules. An antisense molecule may be used, as described herein, to inhibit expression of mRNA or protein, as well as any  
15 other gene that requires NET-4 for its expression.

The present invention relates to antisense oligonucleotides designed to interfere with the normal function of NET-4 polynucleotides. Any modifications or variations of the antisense molecule which are known in the art to be broadly applicable to antisense technology are included within the scope of the invention. Such  
20 modifications include preparation of phosphorus-containing linkages as disclosed in U.S. Patents 5,536,821; 5,541,306; 5,550,111; 5,563,253; 5,571,799; 5,587,361, 5,625,050 and 5,958,773.

The antisense compounds of the invention can include modified bases as disclosed in 5,958,773 and patents disclosed therein. The antisense oligonucleotides of  
25 the invention can also be modified by chemically linking the oligonucleotide to one or more moieties or conjugates to enhance the activity, cellular distribution, or cellular uptake of the antisense oligonucleotide. Such moieties or conjugates include lipids such as cholesterol, cholic acid, thioether, aliphatic chains, phospholipids, polyamines, polyethylene glycol (PEG), palmityl moieties, and others as disclosed in, for example,



U.S. Patents 5,514,758, 5,565,552, 5,567,810, 5,574,142, 5,585,481, 5,587,371, 5,597,696 and 5,958,773.

Chimeric antisense oligonucleotides are also within the scope of the invention, and can be prepared from the present inventive oligonucleotides using the methods described in, for example, U.S. Patents 5,013,830, 5,149,797, 5,403,711, 5,491,133, 5,565,350, 5,652,355, 5,700,922 and 5,958,773.

In the antisense art a certain degree of routine experimentation may be required to select optimal antisense molecules for particular targets. To be effective, the antisense molecule preferably is targeted to an accessible, or exposed, portion of the target RNA molecule. Although in some cases information is available about the structure of target mRNA molecules, the current approach to inhibition using antisense is via experimentation. According to the invention, this experimentation can be performed routinely by transfecting cells with an antisense oligonucleotide using methods described in Example 1. mRNA levels in the cell can be measured routinely in treated and control cells by reverse transcription of the mRNA and assaying the cDNA levels. The biological effect can be determined routinely by measuring cell growth or viability as is known in the art.

Measuring the specificity of antisense activity by assaying and analyzing cDNA levels is an art-recognized method of validating antisense results. It has been suggested that RNA from treated and control cells should be reverse-transcribed and the resulting cDNA populations analyzed. (Branch, A. D., *T.I.B.S.* 23:45-50, 1998.) According to the present invention, cultures of SW620 cells were transfected with five different antisense oligonucleotides designed to target NET-4. These oligonucleotides are shown in SEQ ID NO:2-6. The levels of mRNA corresponding to NET-4 were measured in treated and control cells. SEQ ID NO:2-6 caused dramatic decreases in NET-4 mRNA when normalized to actin mRNA levels.

Antisense molecules for use as described herein can be synthesized by any method known to those of skill in this art including chemical synthesis by, for example, solid phase phosphoramidite chemical synthesis. WO 93/01286; U.S. Patent No. 6,043,090; U.S. Patent No. 5,218,088; U.S. Patent No. 5,175,269; and U.S. Patent

No. 5,109,124, each of which is incorporated herein by reference. Alternatively, RNA molecules may be generated by *in vitro* or *in vivo* transcription of DNA sequences encoding the NET-4 cDNA, or a portion thereof, provided that the DNA is incorporated into a vector downstream of a suitable RNA polymerase promoter (such as, *e.g.*, T3, T7 or SP6). Large amounts of antisense RNA may be produced by incubating labeled nucleotides with a linearized NET-4 cDNA fragment downstream of such a promoter in the presence of the appropriate RNA polymerase. Such antisense molecules are preferably at least 10, 15 or 20 nucleotides in length. More preferably, antisense molecules are at least 25 nucleotides in length. Within certain embodiments, an antisense molecule of the present invention will comprise a sequence that is unique to the NET-4 cDNA sequence of SEQ ID NO:1 or that can hybridize to the cDNA of SEQ ID NO:1 under conditions of high stringency. Within the context of the present invention, high stringency means standard hybridization conditions such as, *e.g.*, 5XSSPE, 0.5% SDS at 65°C or the equivalent thereof. See Sambrook et al., *supra* and

15 *Molecular Biotechnology: Principles and Applications of Recombinant DNA*, *supra* incorporated herein by reference.

Antisense oligonucleotides are typically designed to resist degradation by endogenous nucleolytic enzymes by using such linkages as: phosphorothioate, methylphosphonate, sulfone, sulfate, ketyl, phosphorodithioate, phosphoramidate, phosphate esters, and other such linkages (Agrwal et al., *Tetrahedron Lett.* 28:3539-3542 (1987); Miller et al., *J. Am. Chem. Soc.* 93:6657-6665 (1971); Stec et al., *Tetrahedron Lett.* 26:2191-2194 (1985); Moody et al., *Nucl. Acids Res.* 12:4769-4782 (1989); Uznanski et al., *Nucl. Acids Res.* 17(12):4863-4871 (1989); Letsinger et al., *Tetrahedron* 40:137-143 (1984); Eckstein, *Annu. Rev. Biochem.* 54:367-402 (1985); Eckstein, *Trends Biol. Sci.* 14:97-100 (1989); Stein, in: *Oligodeoxynucleotides. Antisense Inhibitors of Gene Expression*, Cohen, Ed, Macmillan Press, London, pp. 97-117 (1989); Jager et al., *Biochemistry* 27:7237-7246 (1988)). Possible additional or alternative modifications include, but are not limited to, the addition of flanking sequences at the 5' and/or 3' ends and/or the inclusion of nontraditional bases such as

inosine, queosine and wybutosine, as well as acetyl-, methyl-, thio- and other modified forms of adenine, cytidine, guanine, thymine and uridine.

Within alternate embodiments of the present invention, NET-4 inhibitors may be ribozymes. A ribozyme is an RNA molecule that specifically cleaves RNA substrates, such as mRNA, resulting in specific inhibition or interference with cellular gene expression. As used herein, the term "ribozymes" includes RNA molecules that contain antisense sequences for specific recognition, and an RNA-cleaving enzymatic activity. The catalytic strand cleaves a specific site in a target RNA at greater than stoichiometric concentration.

A wide variety of ribozymes may be utilized within the context of the present invention, including for example, the hammerhead ribozyme (for example, as described by Forster and Symons, *Cell* 48:211-220 (1987); Haseloff and Gerlach, *Nature* 328:596-600 (1988); Walbot and Bruening, *Nature* 334:196 (1988); Haseloff and Gerlach, *Nature* 334:585 (1988)); the hairpin ribozyme (for example, as described by Haseloff et al., U.S. Patent No. 5,254,678, issued October 19, 1993 and Hempel *et al.*, European Patent Publication No. 0 360 257, published March 26, 1990); and Tetrahymena ribosomal RNA-based ribozymes (*see* Cech et al., U.S. Patent No. 4,987,071). Ribozymes of the present invention typically consist of RNA, but may also be composed of DNA, nucleic acid analogs (*e.g.*, phosphorothioates), or chimerics thereof (*e.g.*, DNA/RNA/RNA).

Ribozymes can be targeted to any RNA transcript and can catalytically cleave such transcripts (U.S. Patent No. 5,272,262; U.S. Patent No. 5,144,019; and U.S. Patent Nos. 5,168,053, 5,180,818, 5,116,742 and 5,093,246 to Cech et al.). According to certain embodiments of the invention, any such NET-4 mRNA-specific ribozyme, or a nucleic acid encoding such a ribozyme, may be delivered to a host cell to effect inhibition of NET-4 gene expression. Ribozymes and the like may therefore be delivered to the host cells by DNA encoding the ribozyme linked to a eukaryotic promoter, such as a eukaryotic viral promoter, such that upon introduction into the nucleus, the ribozyme will be directly transcribed.

### Proteins and Polypeptides

In addition to the antisense molecules and ribozymes disclosed herein, NET-4 modulators of the present invention also include proteins or polypeptides that are effective in either reducing NET-4 gene expression or in decreasing one or more of  
5 NET-4's biological activities. A variety of methods are readily available in the art by which the skilled artisan may, through routine experimentation, rapidly identify such NET-4 inhibitors. The present invention is not limited by the following exemplary methodologies.

Inhibitors of NET-4's biological activities encompass those proteins  
10 and/or polypeptides that interfere with cell proliferation, particularly tumor cell proliferation, especially colon cell proliferation. Such interference may occur indirectly through non- or un-competitive inhibition such as via binding to an allosteric site, or by binding to a region that normally binds to another protein. Accordingly, available methods for identifying proteins and/or polypeptides that bind to NET-4 may be  
15 employed to identify lead compounds that may, through the methodology disclosed herein, be characterized for their NET-4 inhibitory activity.

A vast body of literature is available to the skilled artisan that describes methods for detecting and analyzing protein-protein interactions. Phizicky, E.M. et al., *Microbiological Reviews* 59:94-123 (1995) incorporated herein by reference. Such  
20 methods include, but are not limited to physical methods such as, *e.g.*, protein affinity chromatography, affinity blotting, immunoprecipitation and cross-linking as well as library-based methods such as, *e.g.*, protein probing, phage display and two-hybrid screening. Other methods that may be employed to identify protein-protein interactions include genetic methods such as use of extragenic suppressors, synthetic lethal effects  
25 and unlinked noncomplementation. Exemplary methods are described in further detail below.

Inventive NET-4 inhibitors may be identified through biological screening assays that rely on the direct interaction between the NET-4 protein and a panel or library of potential inhibitor proteins. Biological screening methodologies,  
30 including the various "n-hybrid technologies," are described in, for example, Vidal, M.

et al., *Nucl. Acids Res.* 27(4):919-929 (1999); Frederickson, R.M., *Curr. Opin. Biotechnol.* 9(1):90-6 (1998); Brachmann, R.K. et al., *Curr. Opin. Biotechnol.* 8(5):561-568 (1997); and White, M.A., *Proc. Natl. Acad. Sci. U.S.A.* 93:10001-10003 (1996) each of which is incorporated herein by reference.

5           The two-hybrid screening methodology may be employed to search new or existing target cDNA libraries for NET-4 binding proteins that have inhibitory properties. The two-hybrid system is a genetic method that detects protein-protein interactions by virtue of increases in transcription of reporter genes. The system relies on the fact that site-specific transcriptional activators have a DNA-binding domain and  
10 a transcriptional activation domain. The DNA-binding domain targets the activation domain to the specific genes to be expressed. Because of the modular nature of transcriptional activators, the DNA-binding domain may be severed covalently from the transcriptional activation domain without loss of activity of either domain. Furthermore, these two domains may be brought into juxtaposition by protein-protein  
15 contacts between two proteins unrelated to the transcriptional machinery. Thus, two hybrids are constructed to create a functional system. The first hybrid, *i.e.*, the bait, consists of a transcriptional activator DNA-binding domain fused to a protein of interest. The second hybrid, the target, is created by the fusion of a transcriptional activation domain with a library of proteins or polypeptides. Interaction between the  
20 bait protein and a member of the target library results in the juxtaposition of the DNA-binding domain and the transcriptional activation domain and the consequent up-regulation of reporter gene expression.

A variety of two-hybrid based systems are available to the skilled artisan that most commonly employ either the yeast Gal4 or *E. coli* LexA DNA-binding  
25 domain (BD) and the yeast Gal4 or herpes simplex virus VP16 transcriptional activation domain. Chien, C.-T. et al., *Proc. Natl. Acad. Sci. U.S.A.* 88:9578-9582 (1991); Dalton, S. et al., *Cell* 68:597-612 (1992); Durfee, T.K. et al., *Genes Dev.* 7:555-569 (1993); Vojtek, A.B. et al., *Cell* 74:205-214 (1993); and Zervos, A.S. et al., *Cell* 72:223-232 (1993). Commonly used reporter genes include the *E. coli lacZ* gene as well as  
30 selectable yeast genes such as *HIS3* and *LEU2*. Fields, S. et al., *Nature (London)*

340:245-246 (1989); Durfee, T.K., *supra*; and Zervos, A.S., *supra*. A wide variety of activation domain libraries are readily available in the art such that the screening for interacting proteins may be performed through routine experimentation.

Suitable bait proteins for the identification of NET-4 interacting proteins  
5 may be designed based on the NET-4 cDNA sequence presented herein as SEQ ID NO:1. Such bait proteins include either the full-length NET-4 protein or fragments thereof.

Plasmid vectors, such as, *e.g.*, pBTM116 and pAS2-1, for preparing NET-4 bait constructs and target libraries are readily available to the artisan and may be  
10 obtained from such commercial sources as, *e.g.*, Clontech (Palo Alto, CA), Invitrogen (Carlsbad, CA) and Stratagene (La Jolla, CA). These plasmid vectors permit the in-frame fusion of cDNAs with the DNA-binding domains as LexA or Gal4BD, respectively.

NET-4 modulators of the present invention may alternatively be  
15 identified through one of the physical or biochemical methods available in the art for detecting protein-protein interactions.

NET-4 is believed to interact with the other cell surface proteins. Through the protein affinity chromatography methodology, lead compounds to be tested as potential NET-4 inhibitors may be identified by virtue of their specific  
20 retention to NET-4 when either covalently or non-covalently coupled to a solid matrix such as, *e.g.*, Sepharose beads. The preparation of protein affinity columns is described in, for example, Beeckmans, S. et al., *Eur. J. Biochem.* 117:527-535 (1981) and Formosa, T. et al., *Methods Enzymol.* 208:24-45 (1991). Cell lysates containing the full complement of cellular proteins may be passed through the NET-4 affinity column.  
25 Proteins having a high affinity for NET-4 will be specifically retained under low-salt conditions while the majority of cellular proteins will pass through the column. Such high affinity proteins may be eluted from the immobilized NET-4 under conditions of high-salt, with chaotropic solvents or with sodium dodecyl sulfate (SDS). In some embodiments, it may be preferred to radiolabel the cells prior to preparing the lysate as  
30 an aid in identifying the NET-4 specific binding proteins. Methods for radiolabeling

mammalian cells are well known in the art and are provided, e.g., in Sopta, M. et al., *J. Biol. Chem.* 260:10353-10360 (1985).

Suitable NET-4 proteins for affinity chromatography may be fused to a protein or polypeptide to permit rapid purification on an appropriate affinity resin. For example, the NET-4 cDNA may be fused to the coding region for glutathione S-transferase (GST) which facilitates the adsorption of fusion proteins to glutathione-agarose columns. Smith et al., *Gene* 67:31-40 (1988). Alternatively, fusion proteins may include protein A, which can be purified on columns bearing immunoglobulin G; oligohistidine-containing peptides, which can be purified on columns bearing  $\text{Ni}^{2+}$ ; the maltose-binding protein, which can be purified on resins containing amylose; and dihydrofolate reductase, which can be purified on methotrexate columns. One exemplary tag suitable for the preparation of NET-4 fusion proteins that is presented herein is the epitope for the influenza virus hemagglutinin (HA) against which monoclonal antibodies are readily available and from which antibodies an affinity column may be prepared.

Proteins that are specifically retained on a NET-4 affinity column may be identified after subjecting to SDS polyacrylamide gel electrophoresis (SDS-PAGE). Thus, where cells are radiolabeled prior to the preparation of cell lysates and passage through the NET-4 affinity column, proteins having high affinity for NET-4 may be detected by autoradiography. The identity of NET-4 specific binding proteins may be determined by protein sequencing techniques that are readily available to the skilled artisan, such as Mathews, C.K. et al., *Biochemistry*, The Benjamin/Cummings Publishing Company, Inc. pp.166-170 (1990).

#### Antibodies or Antibody Fragments

NET-4 modulators (antagonists and agonists) of the present invention include antibodies and/or antibody fragments that are effective in modulating NET-4 gene expression and/or biological activity. Suitable antibodies may be monoclonal, polyclonal or humanized monoclonal antibodies. Antibodies may be derived by conventional hybridoma based methodology, from antisera isolated from NET-4

inoculated animals or through recombinant DNA technology. Alternatively, inventive antibodies or antibody fragments may be identified *in vitro* by use of one or more of the readily available phage display libraries. Exemplary methods are disclosed herein.

In one embodiment of the present invention, NET-4 modulators are  
5 monoclonal antibodies that may be produced as follows. NET-4 protein may be produced, for example, by expression of NET-4 cDNA in a baculovirus based system. By this method, NET-4 cDNA or a fragment thereof is ligated into a suitable plasmid vector that is subsequently used to transfect Sf9 cells to facilitate protein production. In addition, it may be advantageous to incorporate an epitope tag or other moiety to  
10 facilitate affinity purification of the NET-4 protein. Clones of Sf9 cells expressing NET-4 are identified, *e.g.*, by enzyme linked immunosorbant assay (ELISA), lysates are prepared and the NET-4 protein purified by affinity chromatography and the purified protein is injected, intraperitoneally, into BALB/c mice to induce antibody production. It may be advantageous to add an adjuvant, such as Freund's adjuvant, to increase the  
15 resulting immune response.

Serum is tested for the production of specific antibodies and spleen cells from animals having a positive specific antibody titer are used for cell fusions with myeloma cells to generate hybridoma clones. Supernatants derived from hybridoma clones are tested for the presence of monoclonal antibodies having specificity against  
20 NET-4. For a general description of monoclonal antibody methodology, *see, e.g.*, Harlow and Lane, *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory (1988).

In addition to the baculovirus expression system, other suitable bacterial or yeast expression systems may be employed for the expression of NET-4 protein or  
25 polypeptides thereof. As with the baculovirus system, it may be advantageous to utilize one of the commercially available affinity tags to facilitate purification prior to inoculation of the animals. Thus, the NET-4 cDNA or fragment thereof may be isolated by, *e.g.*, agarose gel purification and ligated in frame with a suitable tag protein such as 6-His, glutathione-S-transferase (GST) or other such readily available affinity tag. *See,*



*e.g., Molecular Biotechnology: Principles and Applications of Recombinant DNA*, ASM Press pp. 160-161 (ed. Glick, B.R. and Pasternak, J.J. 1998).

In other embodiments of the present invention, NET-4 modulators are humanized anti-NET-4 monoclonal antibodies. The phrase "humanized antibody" refers to an antibody derived from a non-human antibody - typically a mouse monoclonal antibody. Alternatively, a humanized antibody may be derived from a chimeric antibody that retains or substantially retains the antigen-binding properties of the parental, non-human, antibody but which exhibits diminished immunogenicity as compared to the parental antibody when administered to humans. The phrase "chimeric antibody," as used herein, refers to an antibody containing sequence derived from two different antibodies (U.S. Patent No. 4,816,567) which typically originate from different species. Most typically, chimeric antibodies comprise human and murine antibody fragments, generally human constant and mouse variable regions.

Because humanized antibodies are far less immunogenic in humans than the parental mouse monoclonal antibodies, they can be used for the treatment of humans with far less risk of anaphylaxis. Thus, these antibodies may be preferred in therapeutic applications that involve *in vivo* administration to a human such as, *e.g.*, use as radiation sensitizers for the treatment of neoplastic disease or use in methods to reduce the side effects of, *e.g.*, cancer therapy.

Humanized antibodies may be achieved by a variety of methods including, for example: (1) grafting the non-human complementarity determining regions (CDRs) onto a human framework and constant region (a process referred to in the art as "humanizing"), or, alternatively, (2) transplanting the entire non-human variable domains, but "cloaking" them with a human-like surface by replacement of surface residues (a process referred to in the art as "veneering"). In the present invention, humanized antibodies will include both "humanized" and "veneered" antibodies. These methods are disclosed in, *e.g.*, Jones et al., *Nature* 321:522-525 (1986); Morrison et al., *Proc. Natl. Acad. Sci., U.S.A.*, 81:6851-6855 (1984); Morrison and Oi, *Adv. Immunol.*, 44:65-92 (1988); Verhoeyer et al., *Science* 239:1534-1536 (1988); Padlan, *Molec. Immunol.* 28:489-498 (1991); Padlan, *Molec. Immunol.* 31(3):169-

217 (1994); and Kettleborough, C.A. et al., *Protein Eng.* 4(7):773-83 (1991) each of which is incorporated herein by reference.

The phrase "complementarity determining region" refers to amino acid sequences which together define the binding affinity and specificity of the natural Fv region of a native immunoglobulin binding site. See, e.g., Chothia et al., *J. Mol. Biol.* 196:901-917 (1987); Kabat et al., U.S. Dept. of Health and Human Services NIH Publication No. 91-3242 (1991). The phrase "constant region" refers to the portion of the antibody molecule that confers effector functions. In the present invention, mouse constant regions are substituted by human constant regions. The constant regions of the subject humanized antibodies are derived from human immunoglobulins. The heavy chain constant region can be selected from any of the five isotypes: alpha, delta, epsilon, gamma or mu.

One method of humanizing antibodies comprises aligning the non-human heavy and light chain sequences to human heavy and light chain sequences, selecting and replacing the non-human framework with a human framework based on such alignment, molecular modeling to predict the conformation of the humanized sequence and comparing to the conformation of the parent antibody. This process is followed by repeated back mutation of residues in the CDR region which disturb the structure of the CDRs until the predicted conformation of the humanized sequence model closely approximates the conformation of the non-human CDRs of the parent non-human antibody. Such humanized antibodies may be further derivatized to facilitate uptake and clearance, e.g., via Ashwell receptors. See, e.g., U.S. Patent Nos. 5,530,101 and 5,585,089 which patents are incorporated herein by reference.

It will be appreciated that alternative NET-4 inhibitor antibodies may be readily obtained by other methods commonly known in the art. One exemplary methodology for identifying antibodies having a high specificity for NET-4 is the phage display technology.

Phage display libraries for the production of high-affinity antibodies are described in, for example, Hoogenboom, H.R. et al., *Immunotechnology* 4(1):1-20 (1998); Hoogenboom, H.R., *Trends Biotechnol.* 15:62-70 (1997) and McGuinness, B. et

al., *Nature Bio. Technol.* 14:1149-1154 (1996) each of which is incorporated herein by reference. Among the advantages of the phage display technology is the ability to isolate antibodies of human origin that cannot otherwise be easily isolated by conventional hybridoma technology. Furthermore, phage display antibodies may be  
5 isolated *in vitro* without relying on an animal's immune system.

Antibody phage display libraries may be accomplished, for example, by the method of McCafferty et al., *Nature* 348:552-554 (1990) which is incorporated herein by reference. In short, the coding sequence of the antibody variable region is fused to the amino terminus of a phage minor coat protein (pIII). Expression of the  
10 antibody variable region-pIII fusion construct results in the antibody's "display" on the phage surface with the corresponding genetic material encompassed within the phage particle.

NET-4 protein suitable for screening a phage library may be obtained by, for example, expression in baculovirus Sf9 cells as described, *supra*. Alternatively, the  
15 NET-4 coding region may be PCR amplified using primers specific to the desired region of the NET-4 protein. A desired region can be selected based on the structure shown in Figure 1. As discussed above, the NET-4 protein may be expressed in *E. coli* or yeast as a fusion with one of the commercially available affinity tags.

The resulting fusion protein may then be adsorbed to a solid matrix, *e.g.*,  
20 a tissue culture plate or bead. Phage expressing antibodies having the desired anti-NET-4 binding properties may subsequently be isolated by successive panning, in the case of a solid matrix, or by affinity adsorption to a NET-4 antigen column. Phage having the desired NET-4 inhibitory activities may be reintroduced into bacteria by infection and propagated by standard methods known to those skilled in the art. See  
25 Hoogenboom, H.R., *Trends Biotechnol.*, *supra* for a review of methods for screening for positive antibody-pIII phage.

### Small Molecules

The present invention also provides small molecule NET-4 modulators (antagonists and agonists) that may be readily identified through routine application of

high-throughput screening (HTS) methodologies. Persidis, A., *Nature Biotechnology* 16:488-489 (1998). HTS methods generally refer to those technologies that permit the rapid assaying of lead compounds, such as small molecules, for therapeutic potential. HTS methodology employs robotic handling of test materials, detection of positive  
5 signals and interpretation of data. Such methodologies include, *e.g.*, robotic screening technology using soluble molecules as well as cell-based systems such as the two-hybrid system described in detail above.

A variety of cell line-based HTS methods are available that benefit from their ease of manipulation and clinical relevance of interactions that occur within a  
10 cellular context as opposed to in solution. Lead compounds may be identified via incorporation of radioactivity or through optical assays that rely on absorbance, fluorescence or luminescence as read-outs. Gonzalez, J.E. et al., *Curr. Opin. Biotechnol.* 9(6):624-631 (1998) incorporated herein by reference.

HTS methodology may be employed, *e.g.*, to screen for lead compounds  
15 that block one of NET-4's biological activities, particularly its ability to interact with other cell-surface proteins. By this method, NET-4 protein may be immunoprecipitated from cells expressing the protein and applied to wells on an assay plate suitable for robotic screening. Individual test compounds may then be contacted with the immunoprecipitated protein and the effect of each test compound on NET-4 activity  
20 assessed.

#### Methods for Assessing the Efficacy of NET-4 Modulators

Lead molecules or compounds, whether antisense molecules or ribozymes, proteins and/or peptides, antibodies and/or antibody fragments or small molecules, that are identified either by one of the methods described herein or via  
25 techniques that are otherwise available in the art, may be further characterized in a variety of *in vitro*, *ex vivo* and *in vivo* animal model assay systems for their ability to inhibit NET-4 gene expression or biological activity. As discussed in further detail in the Examples, NET-4 inhibitors of the present invention are effective in reducing NET-4 expression levels and inhibiting cancer cell proliferation. Thus, the present invention

further discloses methods that permit the skilled artisan to assess the effect of candidate inhibitors on each of these parameters.

As noted above and as presented in the Examples, candidate NET-4 inhibitors may be tested by administration to cells that either express endogenous NET-4 or that are made to express NET-4 by transfection of a mammalian cell, such as SW620, with a recombinant NET-4 plasmid construct.

Effective NET-4 inhibitory molecules will reduce the levels of NET-4 mRNA as determined, *e.g.*, by Northern blot or RT-PCR analysis. Example 1; Sambrook et al., *Molecular Cloning: A Laboratory Manual* Cold Spring Harbor Press (1989) and *Molecular Biotechnology: Principles and Applications of Recombinant DNA*, ASM Press (*ed.* Glick, B.R. and Pasternak, J.J. 1998) incorporated herein by reference, or may reduce the levels of NET-4 protein in the cell. The effectiveness of a given candidate antisense molecule may be assessed by comparison with a control "antisense" molecule known to have no substantial effect on NET-4 expression when administered to a mammalian cell. Exemplary control molecules include the RC oligonucleotides disclosed in Example 2.

NET-4 inhibitors effective in reducing NET-4 gene expression and/or cell proliferation by one or more of the methods discussed herein may be further characterized *in vivo* for efficacy in one of the readily available animal model systems. The various animal model systems for study of cancer and genetic instability associated genes are discussed in, for example, Donehower, L.A. *Cancer Surveys* 29:329-352 (1997) incorporated herein by reference.

#### Administration of NET-4 Inhibitors and Compositions Thereof

The present invention provides NET-4 inhibitors and compositions comprising one or more NET-4 inhibitor as well as methods that employ these inventive inhibitors in *in vivo*, *ex vivo*, and *in vitro* applications where it is advantageous to reduce or eliminate the expression or activity of NET-4 or a functionally downstream molecule. NET-4 inhibitors may find use as drugs for

supplementing cancer therapeutics and other agents. NET-4 inhibitors may also find use in other diseases of hyperproliferation.

Compositions may be administered parenterally, topically, orally or locally for therapeutic treatment. Preferably, the compositions are administered orally or parenterally, *i.e.*, intravenously, intraperitoneally, intradermally or intramuscularly.

Inventive compositions will include one or more NET-4 inhibitor and may further comprise a pharmaceutically acceptable carrier or excipient. A variety of aqueous carriers may be used, *e.g.*, water, buffered water, 0.4% saline, 0.3% glycine and the like, and may include other proteins for enhanced stability, such as albumin, lipoprotein, globulin, etc., subjected to mild chemical modifications or the like.

NET-4 inhibitors useful in the treatment of disease in mammals will often be prepared substantially free of other naturally occurring immunoglobulins or other biological molecules. Preferred NET-4 inhibitors will also exhibit minimal toxicity when administered to a mammal.

The compositions of the invention may be sterilized by conventional, well known sterilization techniques. The resulting solutions may be packaged for use or filtered under aseptic conditions and lyophilized, the lyophilized preparation being combined with a sterile solution prior to administration. The compositions may contain pharmaceutically acceptable auxiliary substances as required to approximate physiological conditions, such as pH adjusting and buffering agents, tonicity adjusting agents and the like, for example, sodium acetate, sodium lactate, sodium chloride, potassium chloride, calcium chloride and stabilizers (*e.g.*, 1-20% maltose, etc.).

The selection of the appropriate method for administering NET-4 inhibitors of the present invention will depend on the nature of the application envisioned as well as the nature of the NET-4 inhibitor. Thus, for example, the precise methodology for administering a NET-4 inhibitor will depend upon whether it is an antisense molecule, a protein and/or peptide, an antibody or antibody fragment or a small molecule. Other considerations include, for example, whether the NET-4

inhibitor will be used to inhibit tumor cell growth, invasion, or metastasis, or as an adjunct to other cancer therapeutics.

A variety of methods are available in the art for the administration of antisense molecules. Exemplary methods include gene delivery techniques, including  
5 both viral and non-viral based methods as well as liposome mediated delivery methods.

Gene delivery methodologies will be effective to, for example, reduce tumor cell proliferation, or supplement radiation and/or chemotherapeutic treatment of tumors. Wheldon, T.E. et al., *Radiother Oncol* 48(1):5-13 (1998) (gene delivery methodologies for enhancement of fractionated radiotherapy). By these methodologies,  
10 substantial therapeutic benefit may be achieved despite transfection efficiencies significantly less than 100%, transient retention of the transfected inhibitor and/or existence of a subpopulation of target cells refractory to therapy.

Alternatively, gene delivery methodology may be used to directly knock out endogenous NET-4 within tumor cells. For example, the NET-4 gene may be  
15 targeted by transfection of a gene delivery vector carrying a NET-4 inhibitor. Preferential transfection into or expression within tumor cells may be achieved through use of a tissue-specific or cell cycle-specific promoter, such as, e.g., promoters for prostate-specific antigen or for immunoglobulin genes (Vile, R.G. et al., *Cancer Res.* 53:962-967 (1993) and Vile, R.G., *Semin. Cancer Biol.* 5:437-443 (1994)) or through  
20 the use of trophic viruses that are confined to particular organs or structures, such as, e.g., a replication selective and neurotrophic virus that can only infect proliferating cells in the central nervous system.

Thus, to achieve therapeutic benefit, NET-4 within the tumor cells should be preferentially inhibited. This can be accomplished by transfecting a gene  
25 expressing a NET-4 inhibitor, a NET-4 antisense molecule, a NET-4 gene specific repressor, or an inhibitor of the protein product of the NET-4 gene.

As used herein, the phrase "gene delivery vector" refers generally to a nucleic acid construct that carries and, within certain embodiments, is capable of directing the expression of an antisense molecule of interest, as described in, for  
30 example, *Molecular Biotechnology: Principles and Applications of Recombinant DNA*,

Ch. 21, pp. 555-590 (ed. B.P. Glick and J.J. Pasternak, 2<sup>nd</sup> ed. 1998); Jolly, *Cancer Gene Ther.* 1:51-64 (1994); Kimura, *Human Gene Ther.* 5:845-852 (1994); Connelly, *Human Gene Ther.* 6:185-193 (1995); and Kaplitt, *Nat. Gen.* 6:148-153 (1994).

A number of virus and non-virus based gene delivery vector systems have been described that are suitable for the administration of NET-4 inhibitors. Virus based gene delivery systems include, but are not limited to retrovirus, such as Moloney murine leukemia virus, spumaviruses and lentiviruses; adenovirus; adeno-associated virus; and herpes-simplex virus vector systems. Viruses of each type are readily available from depositories or collections such as the American Type Culture Collection (ATCC; 10801 University Boulevard, Manassas, Virginia 20110-2209) or may be isolated from known sources using commonly available materials and techniques.

The gene delivery vector systems of the present invention will find applications both in *in vivo* as well as *ex vivo* therapeutic regimens. Each of these applications is described in further detail below.

#### 1. Retroviral Gene Delivery Vector Systems

Within one aspect of the present invention, retroviral gene delivery vectors are provided that are constructed to carry or express a NET-4 inhibitory antisense molecule. As used herein, the term "NET-4 inhibitory antisense molecule" refers generally to a nucleic acid sequence having NET-4 inhibitory activity. More specifically, such antisense molecules will reduce NET-4 gene expression. Retroviral gene delivery vectors of the present invention may be readily constructed from a wide variety of retroviruses, including for example, B, C, and D type retroviruses as well as spumaviruses and lentiviruses. See *RNA Tumor Viruses*, Cold Spring Harbor Laboratory (2<sup>nd</sup> ed.1985).

Any of the above retroviruses may be readily utilized in order to assemble or construct retroviral gene delivery vectors given the disclosure provided herein, and standard recombinant DNA techniques. See, e.g., Sambrook et al, *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory Press (2d



ed. 1989) and Kunkle, *Proc. Natl. Acad. Sci. U.S.A.* 82:488 (1985). In addition, within certain embodiments of the invention, portions of the retroviral gene delivery vectors may be derived from different retroviruses.

A retroviral vector, suitable for the expression of a NET-4 inhibitory antisense molecule, must include at least one transcriptional promoter/enhancer or locus defining element(s), or other elements that control gene expression by other means such as alternate splicing, nuclear RNA export, post-translational modification of messenger, or post-transcriptional modification of protein. Such vector constructs must also include a packaging signal, long terminal repeats (LTRs) or portion thereof, and positive and negative strand primer binding sites appropriate to the retrovirus used (if these are not already present in the retroviral vector). Optionally, the retroviral vector may also include a signal that directs polyadenylation, selectable markers such as Neomycin resistance, TK, hygromycin resistance, phleomycin resistance histidinol resistance, or DHFR, as well as one or more restriction sites and a translation termination sequence. Within one aspect of the present invention, retroviral gene delivery vector constructs are provided comprising a 5' LTR, a tRNA binding site, a packaging signal, one or more heterologous sequences, an origin of second strand DNA synthesis and a 3' LTR, wherein the vector construct lacks *gag/pol* or *env* coding sequences.

Other retroviral gene delivery vectors may likewise be utilized within the context of the present invention, including, for example, those disclosed in the following each of which is incorporated herein by reference: EP 0,415,731; WO 90/07936; WO 94/03622; WO 93/25698; WO 93/25234; U.S. Patent No. 5,219,740; WO 93/11230; WO 93/10218; Vile et al., *Cancer Res.* 53:3860-3864 (1993); Vile et al., *Cancer Res.* 53:962-967 (1993); Ram et al., *Cancer Res.* 53:83-88 (1993); Takamiya et al., *J. Neurosci. Res.* 33:493-503 (1992); Baba et al., *J. Neurosurg.* 79:729-735 (1993); U.S. Patent No. 4,777,127, GB 2,200,651, EP 0,345,242 and WO 91/02805.

Packaging cell lines suitable for use with the above-described retroviral gene delivery vector constructs may be readily prepared. See, e.g., U.S. Patent Nos. 5,716,832 and 5,591,624. These packaging cell lines may be utilized to create producer

cell lines (also termed vector cell lines or "VCLs") for the production of recombinant vector particles. It may be preferred to use packaging cell lines made from human (*e.g.*, HT1080 cells) or mink parent cell lines, thereby allowing production of recombinant retroviruses that avoid inactivation in human serum.

5           2.     Adeno-Associated Viral Gene Delivery Vector Systems

Adeno-associated viruses (AAV) possess a number of qualities that make them particularly suitable for the development of gene delivery vectors generally and for the delivery of polynucleotides encoding NET-4 inhibitory antisense molecules in particular. For a general review of AAV expression systems, *see* Rabinowitz et al.,  
10     *Current Opin. Biotech.* 9(5):470-475 (1998). AAV is a non-pathogenic, defective human parvovirus that is non-infective without an adeno or herpes helper virus. Thus, in the absence of a helper virus, AAV becomes integrated latently into the host genome. In addition, AAV has the advantage over the retroviruses, discussed above, in being able to transduce a wide range of both dividing and quiescent  
15     cell types.

A variety of AAV gene delivery vectors may be utilized to direct the expression of one or more NET-4 inhibitor antisense molecule. Representative examples of such vectors include the AAV vectors disclosed by Srivastava in WO 93/09239; Samulski, et al. *J. Virol.* 63:3822-3828 (1989); Mendelson, et al. *Virol.*  
20     166:154-165 (1988); and Flotte, et al. *Proc. Natl. Acad. Sci. U.S.A.* 90(22):10613-10617 (1993) incorporated herein by reference.

Briefly, an AAV gene delivery vector of the present invention may include, in order, a 5' adeno-associated virus inverted terminal repeat; a polynucleotide encoding the NET-4 inhibitory antisense molecule; a sequence operably linked to the  
25     NET-4 inhibitory antisense molecule that regulates its expression in a target tissue, organ or cell; and a 3' adeno-associated virus inverted terminal repeat. A suitable regulatory sequence for the expression of NET-4 inhibitory antisense molecule is, *e.g.*, the enhancer/promoter sequence of cytomegalovirus (CMV). In addition, the AAV

vector may preferably have a polyadenylation sequence such as the bovine growth hormone (BGH) polyadenylation sequence.

Generally, AAV vectors should have one copy of the AAV ITR at each end of the NET-4 inhibitory antisense molecule, to allow replication, packaging, efficient integration into the host cell genome and rescue from the chromosome. The 5' ITR sequence consists of nucleotides 1 to 145 at the 5' end of the AAV DNA genome, and the 3' ITR includes nucleotides 4681 to 4536 of the AAV genome. Preferably, the AAV vector may also include at least 10 nucleotides following the end of the ITR (*i.e.*, a portion of the so-called "D region").

Optimal packaging of an adeno-associated virus gene delivery vector requires that the 5' and 3' ITRs be separated by approximately 2-5 kb. It will be apparent, however, that the ideal spacing between ITR sequences may vary depending on the particular packaging system utilized. This spacing may be achieved by incorporating a "stuffer" or "filler" polynucleotide fragment to bring the total size of the nucleic acid sequence between the two ITRs to between 2 and 5 kb. Thus, where the NET-4 inhibitory antisense molecule is smaller than 2-5 kb, a non-coding stuffer polynucleotide may be incorporated, for example, 3' to the 5' ITR sequence and 5' of the NET-4 inhibitory antisense molecule. The precise nucleotide sequence of the stuffer fragment is not an essential element of the final construct.

Depending upon the precise application contemplated, rather than incorporating a stuffer fragment, multiple copies of the NET-4 inhibitory antisense molecule may be inserted, *inter alia*, to achieve the optimal ITR sequence spacing. It may be preferred to organize the polynucleotides as two or more separate transcription units each with its own promoter and polyadenylation signal.

Recombinant AAV vectors of the present invention may be generated from a variety of adeno-associated viruses, including for example, serotypes 1 through 6. For example, ITRs from any AAV serotype are expected to have similar structures and functions with regard to replication, integration, excision and transcriptional mechanisms.

Within certain embodiments of the invention, expression of the NET-4 inhibitory antisense molecule may be accomplished by a separate promoter (*e.g.*, a viral promoter). Representative examples of suitable promoters in this regard include a CMV promoter, an RSV promoter, an SV40 promoter, or a MoMLV promoter. Other promoters that may similarly be utilized within the context of the present invention include cell or tissue specific promoters or inducible promoters. Representative inducible promoters include tetracycline-response promoters (*e.g.*, the "Tet" promoter) as described in Gossen et al., *Proc. Natl. Acad. Sci. U.S.A.* 89:5547-5551 (1992); Gossen et al., *Science* 268:1766-1769 (1995); Baron et al., *Nucl. Acids Res.* 25:2723-2729 (1997); Blau et al., *Proc. Natl. Acad. Sci. U.S.A.* 96:797-799 (1999); Bohl et al., *Blood* 92:1512-1517 (1998); and Haberman et al., *Gene Therapy* 5:1604-1611 (1998); the ecdysone promoter system as described in No et al., *Proc. Natl. Acad. Sci. U.S.A.* 93:3346-3351 (1996); and other regulated promoters or promoter systems as described in Rivera et al., *Nat. Med.* 2:1028-1032 (1996).

The AAV gene delivery vector may also contain additional sequences, for example from an adenovirus, which assist in effecting a desired function for the vector. Such sequences include, for example, those which assist in packaging the AAV gene delivery vector in adenovirus particles.

Packaging cell lines suitable for producing adeno-associated viral vectors may be routinely prepared given readily available techniques. *See, e.g.*, U.S. Patent No. 5,872,005, incorporated herein by reference. At a minimum, suitable packaging systems for AAV gene delivery systems of the present invention will include the AAV replication and capsid genes.

Preferred packaging cell lines may contain both an AAV helper virus as well as an AAV gene delivery vector containing the NET-4 inhibitory antisense molecule. For detailed descriptions of representative packaging cell line systems, *see, e.g.* Holscher, C. et al., *J. Virol.* 68:7169-7177 (1994); Clark, K.R. et al., *Hum. Gene Ther.* 6:1329-1341 (1995); and Tamayosa, K. et al., *Hum. Gen. Ther.* 7:507-513 (1996) which are incorporated herein by reference.

Alternatively, packaging of AAV may be achieved *in vitro* in a cell free system to obviate transfection protocols or packaging cell lines. Such *in vitro* systems incorporate an AAV gene delivery vector bearing the NET-4 inhibitory antisense molecule and a source of Rep-protein, capsid-protein and Adenovirus proteins that supply helper-viral functions. The latter proteins are typically supplied in the form of a cell extract. Representative *in vitro* systems are further described in Ding, L. et al., *Gen. Ther.* 4:1167-1172 (1997) and Zhou, Z. et al., *J. Virol.* 72:3241-3247 (1998) which are incorporated herein by reference.

### 3. Other Viral Gene Delivery Vector Systems

In addition to retroviral vectors and adeno-associated virus-based vectors, numerous other viral gene delivery vector systems may also be utilized for the expression of NET-4 inhibitory antisense molecules. For example, within one embodiment of the invention adenoviral vectors may be employed. Representative examples of such vectors include those described by, for example, Berkner, *Biotechniques* 6:616-627 (1988); Rosenfeld et al., *Science* 252:431-434 (1991); WO 93/9191; Kolls et al., *Proc. Natl. Acad. Sci. U.S.A.* 91(1):215-219 (1994); Kass-Eisler et al., *Proc. Natl. Acad. Sci. U.S.A.* 90(24):11498-502 (1993); Guzman et al., *Circulation* 88(6):2838-48 (1993); Guzman et al., *Cir. Res.* 73(6):1202-1207 (1993); Zabner et al., *Cell* 75(2):207-216 (1993); Li et al., *Hum. Gene Ther.* 4(4):403-409 (1993); Caillaud et al., *Eur. J. Neurosci.* 5(10):1287-1291 (1993); Vincent et al., *Nat. Genet.* 5(2):130-134 (1993); Jaffe et al., *Nat. Genet.* 1(5):372-378 (1992); and Levrero et al., *Gene* 101(2):195-202 (1991); and WO 93/07283; WO 93/06223; and WO 93/07282.

Gene delivery vectors of the present invention also include herpes vectors. Representative examples of such vectors include those disclosed by Kit in *Adv. Exp. Med. Biol.* 215:219-236 (1989); and those disclosed in U.S. Patent No. 5,288,641 and EP 0176170 (Roizman). Additional exemplary herpes simplex virus vectors include HFEM/ICP6-LacZ disclosed in WO 95/04139 (Wistar Institute), pHSVlac described in Geller, *Science* 241:1667-1669 (1988), and in WO 90/09441 and WO 92/07945; HSV Us3::pgC-lacZ described in Fink, *Human Gene Therapy* 3:11-19

(1992); and HSV 7134, 2 RH 105 and GAL4 described in EP 0453242 (Breakefield), and those deposited with the ATCC as accession numbers ATCC VR-977 and ATCC VR-260.

Gene delivery vectors may also be generated from a wide variety of other viruses including, for example, poliovirus (Evans et al., *Nature* 339:385-388 (1989); and Sabin, *J. Biol. Standardization* 1:115-118 (1973)); rhinovirus; pox viruses, such as canary pox virus or vaccinia virus (Fisher-Hoch et al., *Proc. Natl. Acad. Sci. U.S.A.* 86:317-321 (1989); Flexner et al., *Ann. N.Y. Acad. Sci.* 569:86-103 (1989); Flexner et al., *Vaccine* 8:17-21 (1990); U.S. Patent Nos. 4,603,112, 4,769,330 and 5,017,487; WO 89/01973); SV40 (Mulligan et al., *Nature* 277:108-114 (1979); influenza virus (Luytjes et al., *Cell* 59:1107-1113 (1989); McMichael et al., *N. Eng. J. Med.* 309:13-17 (1983); and Yap et al., *Nature* 273:238-239 (1978)); HIV (Poznansky, *J. Virol.* 65:532-536 (1991)); measles (EP 0 440,219); astrovirus (Munroe et al., *J. Vir.* 67:3611-3614 (1993)); and coronavirus, as well as other viral systems (e.g., EP 0,440,219; WO 92/06693; U.S. Patent No. 5,166,057).

#### 4. Non-viral Gene Delivery Vectors

Other gene delivery vectors and methods that may be employed for the expression of NET-4 inhibitory antisense molecules such as, for example, nucleic acid expression vectors; polycationic condensed DNA linked or unlinked to killed adenovirus alone, for example, see Curiel, *Hum Gene Ther* 3:147-154 (1992); ligand linked DNA, for example, see Wu, *J Biol Chem* 264:16985-16987 (1989); eucaryotic cell delivery vectors; deposition of photopolymerized hydrogel materials; hand-held gene delivery particle gun, as described in US Patent No. 5,149,655; ionizing radiation as described in U.S. 5,206,152 and in WO 92/11033; nucleic charge neutralization or fusion with cell membranes. Additional approaches are described in Philip, *Mol Cell Biol* 14:2411-2418 (1994), and in Woffendin, *Proc. Natl. Acad. Sci.* 91:1581-1585 (1994).

Particle mediated gene delivery may be employed. Briefly, the NET-4 inhibitory antisense molecule of interest can be inserted into conventional vectors that

contain conventional control sequences for high level expression, and then be incubated with synthetic gene delivery molecules such as polymeric DNA-binding cations like polylysine, protamine, and albumin, linked to cell targeting ligands such as asialoorosomucoid, as described in Wu, et al., *J. Biol. Chem.* 262:4429-4432 (1987),  
5 insulin as described in Hucked, *Biochem Pharmacol* 40:253-263 (1990), galactose as described in Plank, *Bioconjugate Chem* 3:533-539 (1992), lactose or transferrin.

Naked DNA may also be employed. Exemplary naked DNA introduction methods are described in WO 90/11092 and U.S. Patent No. 5,580,859. Uptake efficiency may be improved using biodegradable latex beads. DNA coated  
10 latex beads are efficiently transported into cells after endocytosis initiation by the beads. The method may be improved further by treatment of the beads to increase hydrophobicity and thereby facilitate disruption of the endosome and release of the DNA into the cytoplasm.

Liposomes that can act as gene delivery vehicles are described in U.S.  
15 Patent No. 5,422,120, PCT Patent Publication Nos. WO 95/13796, WO 94/23697, and WO 91/144445, and European Patent Publication No. 524,968. Nucleic acid sequences can be inserted into conventional vectors that contain conventional control sequences for high level expression, and then be incubated with synthetic gene delivery molecules such as polymeric DNA-binding cations like polylysine, protamine, and albumin, linked  
20 to cell targeting ligands such as asialoorosomucoid, insulin, galactose, lactose, or transferrin. Other delivery systems include the use of liposomes to encapsulate DNA comprising the gene under the control of a variety of tissue-specific or ubiquitously-active promoters. Further non-viral delivery suitable for use includes mechanical delivery systems such as the approach described in Woffendin et al., *Proc. Natl. Acad. Sci. U.S.A.* 91(24):11581-11585 (1994). Moreover, the coding sequence and the  
25 product of expression of such can be delivered through deposition of photopolymerized hydrogel materials.

Exemplary liposome and polycationic gene delivery vehicles are those described in U.S. Patent Nos. 5,422,120 and 4,762,915, in PCT Patent Publication Nos.  
30 WO 95/13796, WO 94/23697, and WO 91/14445, in European Patent Publication No.

524,968 and in Starrier, *Biochemistry*, pp. 236-240 (1975) W.H. Freeman, San Francisco; Shokai, *Biochem. Biophys. Acta.* 600:1 (1980); Bayer, *Biochem. Biophys. Acta.* 550:464 (1979); Rivet, *Methods Enzymol.* 149:119 (1987); Wang, *Proc. Natl. Acad. Sci. U.S.A.* 84:7851 (1987); Plant, *Anal. Biochem.* 176:420 (1989).

5           The polynucleotides of the invention can be formulated as a diagnostic kit for detecting, for example, the expression of NET-4 messenger RNA in a tumor cell. A diagnostic kit may contain at least one oligonucleotide capable of hybridizing to SEQ ID NO:1 under stringent conditions. Preferably the polynucleotide will be at least 10 base pairs in length. In a preferred embodiment, the kit will comprise at least one  
10 oligonucleotide selected from the group consisting of SEQ ID Nos: 18, 19, 20, 21 and 22, and at least one control oligonucleotide that does not hybridize with a polynucleotide of SEQ ID NO:1 under stringent conditions. The kit may also comprise at least 3 of these oligonucleotides, such as all five oligonucleotides.



## EXAMPLES

The following experimental examples are offered by way of illustration, not limitation.

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### EXAMPLE 1

#### ANTISENSE INHIBITION OF NET-4 mRNA

##### A. Preparation of Transfection Mixture

For each transfection mixture, a carrier molecule, preferably a lipitoid or cholesterolid, was prepared to a working concentration of 0.5 mM in water, sonicated to  
10 yield a uniform solution, and filtered through a 0.45  $\mu$ m PVDF membrane. The antisense oligonucleotide (SEQ ID NO:2-6) was prepared to a working concentration of 100  $\mu$ M in sterile Millipore water.

The oligonucleotide was diluted in OptiMEM™ (Gibco/BRL), in a microfuge tube, to 2  $\mu$ M, or approximately 20  $\mu$ g oligo/ml of OptiMEM™. In a  
15 separate microfuge tube, lipitoid or cholesterolid, typically in the amount of about 1.5-2 nmol lipitoid/ $\mu$ g antisense oligonucleotide, was diluted into the same volume of OptiMEM™ used to dilute the oligonucleotide. The diluted antisense oligonucleotide was immediately added to the diluted lipitoid and mixed by pipetting up and down.

##### B. Transfection

20 SW620 or MRC9 cells were plated on tissue culture dishes one day in advance of transfection, in growth media with serum, to yield a density at transfection of 60-90%. The oligonucleotide/lipitoid mixture was added to the cells, immediately after mixing, to a final concentration of 100-300 nM antisense oligonucleotide. Cells were incubated with the transfection mixture at 37°C, 5% CO<sub>2</sub> for 4-24 hours. After  
25 incubation, the transfection mixture was removed and replaced with normal growth media with serum.

Total RNA was extracted using the RNEASY™ kit (Qiagen Corporation, Chatsworth, CA), according to manufacturer's protocols.

### C. Reverse Transcription

The level of target mRNA was quantitated using the Roche LightCycler™ real-time PCR machine. Values for the target mRNA were normalized versus an internal control (*e.g.*, beta-actin).

- 5 For each 20 µl reaction, extracted RNA (generally 0.2-1 µg total) was placed into a sterile 0.5 or 1.5 ml microcentrifuge tube, and water was added to a total volume of 12.5 µl. To each tube was added 7.5 µl of a buffer/enzyme mixture, prepared by mixing (in the order listed) 2.5 µl H<sub>2</sub>O, 2.0 µl 10X reaction buffer, 10 µl oligo dT (20 pmol), 1.0 µl dNTP mix (10 mM each), 0.5 µl RNasin® (20u) (Ambion, 10 Inc., Hialeah, FL), and 0.5 µl MMLV reverse transcriptase (50u) (Ambion, Inc.). The contents were mixed by pipetting up and down, and the reaction mixture was incubated at 42°C for 1 hour. The contents of each tube were centrifuged prior to amplification.

### D. LightCycler™ Amplification of RT Reactions

- An amplification mixture was prepared by mixing in the following order:
- 15 1X PCR buffer II, 3 mM MgCl<sub>2</sub>, 140 µM each dNTP, 0.175 pmol each oligo, 1:50,000 dil of SYBR® Green, 0.25 mg/ml BSA, 1 unit *Taq* polymerase, and H<sub>2</sub>O to 20 µl. (PCR buffer II is available in 10X concentration from Perkin-Elmer, Norwalk, CT). In 1X concentration it contains 10 mM Tris pH 8.3 and 50 mM KCl. SYBR® Green (Molecular Probes, Eugene, OR) is a dye which fluoresces when bound to double 20 stranded DNA. As double stranded PCR product is produced during amplification, the fluorescence from SYBR® Green increases.

To each 20 µl aliquot of amplification mixture, 2 µl of template RT was added, and amplification was carried out according to standard protocols.

- As shown in Table 1 below, NET-4 message levels were decreased 25 relative to actin message in SW620 cells. AS represents the antisense oligonucleotides and RC represents the corresponding control oligonucleotides.

Table 1Effect of NET-4 Oligonucleotides on NET-4 mRNA Levels in SW620 Cells

Antisense oligonucleotide	NET-4 message levels normalized to actin
SEQ ID NO:2 AS	0.04
SEQ ID NO:3 AS	0.06
SEQ ID NO:4 AS	0.07
SEQ ID NO:5 AS	0.03
SEQ ID NO:6 AS	0.02
SEQ ID NO:7 RC	0.27
SEQ ID NO:8 RC	0.32
SEQ ID NO:9 RC	0.35
SEQ ID NO:10 RC	0.54
SEQ ID NO: 11 RC	0.26

## EXAMPLE 2

## CELL PROLIFERATION ASSAY

Cells were seeded into 96 well plates at a density of 5000 cells per well. For a 4 day proliferation assay, 5 independent 96 well plates were prepared, one for each day. After overnight incubation, cells were transfected using the procedure described above. On each day of the proliferation assay, all medium was removed from one plate and frozen at -70°C. On day four, all plates were developed with the Quantos™ assay kit (Stratagene, La Jolla, CA) which determines the amount of DNA, and thus the number of cells, in each well. The results are shown in Tables 2-6 below.

10 In each table, the results are expressed in units of fluorescence.

Table 2Effect of NET-4 Oligonucleotide 509 on Growth of SW620 Cells

Oligonucleotide	Day 0	Day 1	Day 2	Day 3	Day 4
Wild type (no oligo)	1100	2300	2600	3800	4400
509AS(SEQ ID NO:2)	1100	1500	1300	1600	2500
509RC (SEQ ID NO:7)	1300	1300	1700	2500	2900

Table 3Effect of NET-4 Oligonucleotide 353 on Growth of SW620 Cells

Oligonucleotide	Day 0	Day 1	Day 2	Day 3	Day 4
Wild type (no oligo)	1100	2300	2700	3800	4300
353AS (SEQ ID NO:3)	1000	1500	1000	1400	2500
353RC (SEQ ID NO:8)	1300	1700	1900	2300	2300

Table 4Effect of NET-4 Oligonucleotide 446 on Growth of SW620 Cells

Oligonucleotide	Day 0	Day 1	Day 2	Day 3	Day 4
Wild type (no oligo)	1100	2400	2700	3800	4400
446AS (SEQ ID NO:4)	1000	1700	1300	1800	2800
446RC (SEQ ID NO:9)	1300	2400	2600	3500	4000

Table 5Effect of NET-4 Oligonucleotide 960 on Growth of SW620 Cells

Oligonucleotide	Day 0	Day 1	Day 2	Day 3	Day 4
Wild type (no oligo)	1000	2300	2700	3800	4400
960AS (SEQ ID NO:5)	1000	1100	1000	1100	1700
960RC (SEQ ID NO:11)	1200	1700	2000	2600	3400

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Table 6Effect of NET-4 Oligonucleotide 960 on Growth of MRC9 Cells

Oligonucleotide	Day 0	Day 1	Day 2	Day 3	Day 4
Wild type (no oligo)	400	1000	1200	1700	2100
960AS (SEQ ID NO:5)	450	800	800	1100	1090
960RC (SEQ ID NO:11)	400	600	800	1250	1300

Table 7Effect of NET-4 Oligonucleotide 999 on Growth of SW620 Cells

Oligonucleotide	Day 0	Day 1	Day 2	Day 3	Day 4
Wild type (no oligo)	1100	2400	2700	3800	4400
999AS (SEQ ID NO:6)	1100	1700	1300	1700	2600
999RC (SEQ ID No:11)	1300	2000	2000	2900	3100

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The oligonucleotides are:

	hNET-4: 509AS	CTGGGTCAAAGCCGCCGAGATCG (SEQ ID NO:2)	
	hNET-4: 509RC	GCTAGAGCCGCCGAAACTGGGTC (SEQ ID NO:7)	
5	hNET-4: 353AS	TTCAGGACCCTTGTAGTGCTTCCCG	(SEQ ID NO:3)
	hNET-4: 353RC	GCCCTTCGTGATGTTCCAGGACTT	(SEQ ID NO:8)
10	hNET-4: 446AS	CCATGCCCACAGTCCAATTCCAAGA	(SEQ ID NO:4)
	hNET-4: 446RC	AGAACCTTAACCTGACACCCGTACC	(SEQ ID NO:9)
15	hNET-4: 960AS	GCTGGTCAACTTCTGGTTTTTGCCT	(SEQ ID NO:5)
	hNET-4: 960RC	TCCGTTTTTGGTCTTCAACTGGTCG	(SEQ ID NO:10)
20	hNET-4: 999AS	AAACTGGGGCACACAGCCTTTCGT	(SEQ ID NO:6)
	hNET-4: 999RC	TGCTTTCGACACACGGGGTCAAA	(SEQ ID NO:11)

### EXAMPLE 3

#### NET-4 MRNA EXPRESSION

Quantitative PCR of a number of normal tissues and tumor cell lines was used to analyze expression of Net-4. Quantitative real-time PCR was performed by first isolating RNA from cells using a Roche RNA Isolation kit according to manufacturer's directions. One microgram of RNA was used to synthesize a first-strand cDNA using MMLV reverse transcriptase (Ambion) using the manufacturer's buffer and recommended concentrations of oligo dT, nucleotides, and Rnasin. This first-strand cDNA served as a template for quantitative real-time PCR using the Roche light-cycler as recommended in the machine manual. Net-4 was amplified with the forward primer GATCCCGCAGAAGATGTCATCAACA (SEQ ID NO:12) and the reverse primer TCTGCAGCAATGCAATGCCTATGA (SEQ ID NO:13). PCR product was quantified based on the cycle at which the amplification entered the linear phase of amplification in comparison to an internal standard and using the software supplied by the manufacturer. Small differences in amounts or total template in the first-strand cDNA reaction were eliminated by normalizing to amount of actin amplified in a